## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Guangming Xiao ) Docket No.: 6758.2-1

Serial No.: TBD ) Examiner: \_TBD

Filed: Herewith ) Art Unit: TBD

For: Embedded Attenuated Phase Shift )

Mask With Tunable Transmission )

## INFORMATION DISCLOSURE STATEMENT

MAIL STOP: PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Applicant encloses the attached PTO-1449 form citing references to be considered and cited in the examination for above-identified patent application filed herewith.

Applicant believes no fee is due. However, the Director is authorized to charge any fees or credit any overpayments to Deposit Account 13-4900.

Date: 18, 2004 MUNSCH HARDT KOPF & HARR, P.C.

4000 Fountain Place 1445 Ross Avenue

Dallas, Texas 75202-2790 Telephone: 214-855-7571 Facsimile: 214-855-7584 Respectfully submitted,

Marc A. Hubbard Reg. No. 32,506

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Label No. EV 397488511 US in an envelope addressed to: MAIL STOP: RATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	TBD		
Filing Date	March 18, 2004		
First Named Inventor	Guangming Xiao	."	
Art Unit	TBD		
Examiner Name	TBD		
Attorney Docket Number	6758.2-1		

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,472,766	10/29/2002	Xiao	
		<sup>US-</sup> 6.436.588	8/20/2002	Mason et al.	
		<sup>US-</sup> 6,406,818	6/18/2002	Zemen et al.	
		<sup>US-</sup> 6,403,267	6/11/2002	Tzu et al.	
		<sup>US-</sup> 6,277,528	8/21/2001	Tzu et al.	
		<sup>US-</sup> 5,789,116	8/4/1998	Kim	
		<sup>US-</sup> 5,618,643	4/8/1997	Dao et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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